The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM D

Notice of Exempt Offering of Securities

OMB APPROVAL OMB 3235-Number: 0076 Estimated average burden hours per 4.00 response:

1. Issuer's Identity

**CIK (Filer ID Number)** 

**Previous** Names

None

**Entity Type** 

0001799191

DFP HEALTHCARE ACQUISITIONS

X Corporation

Name of Issuer Oncology Institute, Inc.

CORP.

Limited Partnership

Jurisdiction of

Limited Liability Company General Partnership

Incorporation/Organization

**Business Trust** 

**DELAWARE** 

Other (Specify)

Year of Incorporation/Organization

Over Five Years Ago

X Within Last Five Years (Specify Year) 2019

Yet to Be Formed

2. Principal Place of Business and Contact Information

Name of Issuer

Oncology Institute, Inc.

**Street Address 1** 

**Street Address 2** 

18000 STUDEBAKER RD City

State/Province/Country

ZIP/PostalCode

**Phone Number of Issuer** 

**CERRITOS** 

**CALIFORNIA** 

90703

SUITE 800

562-735-3226

3. Related Persons

**Last Name** 

First Name

Middle Name

Hively

Brad

**Street Address 1** 

**Street Address 2** 

18000 Studebaker Rd, Suite 800

City

**State/Province/Country** 

ZIP/PostalCode

Cerritos

**CALIFORNIA** 

90703

**Relationship:** X Executive Officer X Director Promoter

Clarification of Response (if Necessary):

**Last Name** 

First Name

Middle Name

Virnich

Daniel

**Street Address 1** 

Street Address 2

18000 Studebaker Rd, Suite 800

City

**State/Province/Country** 

ZIP/PostalCode

Cerritos

**CALIFORNIA** 

90703

Relationship: X Executive Officer Director Promoter Clarification of Response (if Necessary):

**Last Name First Name** Middle Name Mihir Shah **Street Address 1 Street Address 2** 18000 Studebaker Rd, Suite 800 ZIP/PostalCode City **State/Province/Country CALIFORNIA** 90703 Cerritos Relationship: X Executive Officer Director Promoter Clarification of Response (if Necessary): **Last Name** First Name Middle Name Miller Matthew **Street Address 1 Street Address 2** 18000 Studebaker Rd, Suite 800 City **State/Province/Country** ZIP/PostalCode Cerritos **CALIFORNIA** 90703 **Relationship:** X Executive Officer Director Promoter Clarification of Response (if Necessary): **Last Name** First Name Middle Name **Podnos** Yale **Street Address 2 Street Address 1** 18000 Studebaker Rd, Suite 800 City **State/Province/Country** ZIP/PostalCode **CALIFORNIA** Cerritos 90703 **Relationship:** X Executive Officer Director Promoter Clarification of Response (if Necessary): **Last Name** First Name Middle Name Richard Barasch **Street Address 1 Street Address 2** 18000 Studebaker Rd, Suite 800 City State/Province/Country ZIP/PostalCode Cerritos **CALIFORNIA** 90703 **Relationship:** Executive Officer X Director Promoter Clarification of Response (if Necessary): **Last Name** First Name Middle Name Johnson Karen **Street Address 1 Street Address 2** 18000 Studebaker Rd, Suite 800 State/Province/Country ZIP/PostalCode City Cerritos **CALIFORNIA** 90703 Relationship: Executive Officer X Director Promoter Clarification of Response (if Necessary): **Last Name** First Name Middle Name Kaushal Mohit **Street Address 1 Street Address 2** 18000 Studebaker Rd, Suite 800

State/Province/Country

**CALIFORNIA** 

City

Cerritos

ZIP/PostalCode

90703

Relationship: Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

McGeorge Anne

Street Address 1 Street Address 2

18000 Studebaker Rd, Suite 800

City State/Province/Country ZIP/PostalCode

Cerritos CALIFORNIA 90703

Relationship: Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

O'Meara Maeve

Street Address 1 Street Address 2

18000 Studebaker Rd, Suite 800

City State/Province/Country ZIP/PostalCode

Cerritos CALIFORNIA 90703

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Sarin Ravi

Street Address 1 Street Address 2

18000 Studebaker Rd, Suite 800

City State/Province/Country ZIP/PostalCode

Cerritos CALIFORNIA 90703

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

### 4. Industry Group

Agriculture Health Care Retailing
Banking & Financial Services Biotechnology Restaurants
Commercial Banking Health Insurance Technology

Investing X Hospitals & Physicians

Investment Banking Pharmaceuticals Telecommunications

Pooled Investment Fund Other Health Care Other Technology

Is the issuer registered as Manufacturing Travel

an investment company under the Investment Company
Act of 1940?

Manufacturing Travel

Real Estate Airlines & Airports

Commercial Lodging & Conventions

Computers

Yes No Construction Tourism & Travel Services

Other Banking & Financial Services REITS & Finance Other Travel

Business Services Residential Other

Energy Other Real Estate

Coal Mining
Electric Utilities
Energy Conservation

Environmental Services

## 5. Issuer Size

Revenue Range	OR	Aggregate Net Asset Value Range
No Revenues		No Aggregate Net Asset Value
\$1 - \$1,000,000		\$1 - \$5,000,000
\$1,000,001 - \$5,000,000		\$5,000,001 - \$25,000,000
\$5,000,001 - \$25,000,000		\$25,000,001 - \$50,000,000
\$25,000,001 - \$100,000,000		\$50,000,001 - \$100,000,000
Over \$100,000,000		Over \$100,000,000
X Decline to Disclose		Decline to Disclose
Not Applicable		Not Applicable

# 6. Federal Exemption(s) and Exclusion(s) Claimed (select all that apply)

	Investment Company Act Section 3(c)		
Rule 504(b)(1) (not (i), (ii) or (iii))	Section 3(c)(1)	Section 3(c)(9)	
Rule 504 (b)(1)(i)	Section 3(c)(2)	Section 3(c)(10)	
Rule 504 (b)(1)(ii)	Section 3(c)(3)	Section 3(c)(11)	
Rule 504 (b)(1)(iii) X Rule 506(b)	Section 3(c)(4)	Section 3(c)(12)	
Rule 506(c)	Section $3(c)(5)$	Section $3(c)(13)$	
Securities Act Section 4(a)(5)	Section 3(c)(6)	Section 3(c)(14)	
	Section 3(c)(7)		

# 7. Type of Filing

X New Notice Date of First Sale 2022-08-09 First Sale Yet to Occur Amendment

8. Duration of Offering

Does the Issuer intend this offering to last more than one year? Yes X No

9. Type(s) of Securities Offered (select all that apply)

Equity	Pooled Investment Fund Interests
X Debt	Tenant-in-Common Securities
X Option, Warrant or Other Right to Acquire Another Security	Mineral Property Securities
X Security to be Acquired Upon Exercise of Option, Warrant or Other Right to Acquire Security	Other (describe)

## 10. Business Combination Transaction

Is this offering being made in connection with a business combination transaction, such as a merger, acquisition or exchange offer?

Yes X No

Clarification of Response (if Necessary):

# 11. Minimum Investment

Minimum investment accepted from any outside investor \$0 USD

12. Sales Compensation

Recipient CRD Number X None Recipient (Associated) Broker or Dealer CRD (Associated) Broker or Dealer X None X None Number **Street Address 1 Street Address 2** ZIP/Postal City State/Province/Country Code State(s) of Solicitation (select all that apply) All Check "All States" or check individual Foreign/non-US States States 13. Offering and Sales Amounts **Total Offering Amount** \$110,000,000 USD or Indefinite **Total Amount Sold** \$110,000,000 USD Total Remaining to be Sold \$0 USD or Indefinite Clarification of Response (if Necessary): 14. Investors Select if securities in the offering have been or may be sold to persons who do not qualify as accredited investors, and enter the number of such non-accredited investors who already have invested in the offering. Regardless of whether securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the total number of investors who already have invested in the offering:

#### 15. Sales Commissions & Finder's Fees Expenses

Provide separately the amounts of sales commissions and finders fees expenses, if any. If the amount of an expenditure is not known, provide an estimate and check the box next to the amount.

Sales Commissions \$0 USD Estimate Finders' Fees \$0 USD Estimate

Clarification of Response (if Necessary):

#### 16. Use of Proceeds

Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the amount is unknown, provide an estimate and check the box next to the amount.

\$0 USD Estimate

Clarification of Response (if Necessary):

Signature and Submission

Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice.

Terms of Submission

In submitting this notice, each issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, in the accordance with applicable law, the information furnished to offerees.\*
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company

Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.

• Certifying that, if the issuer is claiming a Regulation D exemption for the offering, the issuer is not disqualified from relying on Rule 504 or Rule 506 for one of the reasons stated in Rule 504(b)(3) or Rule 506(d).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
Oncology Institute, Inc.	/s/ Mark Hueppelsheuser	Mark Hueppelsheuser	General Counsel	2022-08-24

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

\* This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.